

## **SCPA and SHIN-NY SOPs Glossary**

<b>Term</b>	<b>Meaning</b>
Action	Any claim, demand, action, lawsuit, proceeding, or investigation, whether civil, criminal, administrative, arbitral, investigative, or otherwise, and whether based in law or equity, regardless of theory underlying the claim, action, lawsuit, proceeding, or investigation, or of the foreseeability or cause thereof.
Additional HIN	Any HIN that Participant has selected on the Election Schedule as an “Additional HIN”, and which may provide Required Participant Services to Participant in accordance with the SCPA.
Additional Data	SHIN-NY Data that is not Core SHIN-NY Data.
ADT	An admission, discharge, and transfer notification.
Authorized User	An individual designated to access and use a SHIN-NY Platform and the Required Participant Services and who satisfies the requirements of the SCPA.
Business Associate Agreement (BAA)	A business associate agreement that meets the applicable requirements of HIPAA.
Contribute	To contribute, share, disclose, transfer, or otherwise make available; “Contribution” means information so contributed, shared, disclosed, transferred, or otherwise made available.
Core QE Data	Data that a SHIN-NY participant must Contribute to a QE Platform under the SCPA and that must be made available for the QE Permitted Purposes.
Core SHIN-NY Data	Core QE Data and/or Core SDI Data.
Core Statewide Data Infrastructure (SDI) Data	Data that must be Contributed to the SDI, either directly to the SDI or by QEs that receive such data from their SHIN-NY participants, and that must be made available for the SDI Permitted Purposes.
Data Breach	Generally, means “the acquisition, access, use, or disclosure of protected health information in a manner not permitted [by the Privacy Rule] which compromises the security or privacy of the protected health information.”
Data Lake	A secure data repository that is part of the SDI.
Data Provider	A SHIN-NY Participant that Contributes data to a SHIN-NY Platform. All Regulated Participants shall be Data Providers, and Voluntary Participants may also be required to be Data Providers under the SHIN-NY SOPs. A SHIN-NY Participant can be both a Data Provider and a Data Recipient.
Data Recipient	A SHIN-NY Participant that accesses and uses data held in a SHIN-NY Platform pursuant to their selection on the Election Schedule. A SHIN-NY Participant can be both a Data Provider and a Data Recipient.
Data Use Requests	Requests for use, disclosure and re-disclosure of Core SDI Data for Public Health Permitted Purposes, Medicaid Permitted Purposes, as well as any future SDI Permitted Purposes that the SDUC may review and approve.
Deactivated User	An individual whose credentials to access a SHIN-NY Platform have been suspended, deactivated, or revoked.
Designated Health Information Network (HIN)	The HIN that a SHIN-NY Participant selects on the Election Schedule as its “Designated HIN” and which is responsible for providing or arranging for the provision of Required Participant Services to the Participant in accordance with the SCPA.
De-identified Data	Data that does not identify an individual and with respect to which there is no reasonable basis to believe that the information can be used to identify an

	individual. Data may be considered de-identified only if it satisfies the requirements of 45 C.F.R. § 164.514(b).
Disclosing Party	The Party making a disclosure of Confidential Information to a Receiving Party.
Data Use and Contribution Agreement (“DUCA”)	The agreement between a QE and NYeC regarding such QE’s contribution of data to the Data Lake and QE’s and NYeC’s use and disclosure of such data.
Effective Date	The Effective Date of the SCPA shall be the later of October 1, 2025 or the date on which a Participant initially executes the Election Schedule.
Encounter Data Hub	Data infrastructure maintained by NYeC as part of the SDI that collects ADTs, stores ADTs, and forwards ADTs to QEs
Election Schedule	Pursuant to the SCPA, each SHIN-NY Participant must select a Designated HIN and may also select one or more Additional HIN(s) or Value-Added Services HIN(s) on the Election Schedule.
Eligible NYeC Participants	A SHIN-NY participant that is either (i) NYS DOH and its applicable vendors and contractors (including, but not limited to, the “Health Equity Regional Organization” and Medicaid managed care organizations), (ii) an SDI Waiver Participant, or (iii) another SHIN-NY participant that is approved by the SDUC as an Eligible NYeC Participant (e.g., a county or local public health agency).
Funding Agreement	The agreement between a QE and NYeC regarding receipt by QE of funding for the provision of the Required Participant Services.
Government Participant	A SHIN-NY participant registered in accordance with the SCPA that is a government agency or instrumentality, including an agency or instrumentality of the federal government or NYS (including, but not limited to, local public health departments and hospitals that meet such definition).
Health Information Network (“HIN”)	A health information network that is part of the SHIN-NY and party to the SCPA. An HIN shall be either a QE or NYeC.
HIN Recouping Parties	Each HIN’s respective directors, officers, employees, contractors, representatives, and agents.
HIN-Specific Modification Addendum	An addendum to the SCPA, agreed to in writing by a SHIN-NY Participant and one or more HINs, that modifies specific terms of the SCPA as set forth in and permitted by the SCPA.
HIPAA	The Health Insurance Portability and Accountability Act of 1996, as amended from time to time, and the regulations issued under 45 C.F.R. Parts 160, 162, and 164.
Infringement Claim	Any claim for actual or alleged infringement of intellectual property rights based on use of such HIN’s SHIN-NY Platform
Losses	Any losses, fines, penalties, damages, or other liabilities (including reasonable costs and expenses, and excluding attorneys’ fees) in each case, incurred or payable by a SHIN-NY Recouping Party in connection with an Action.
Limited Data Sets	Protected Health Information that excludes the 16 direct identifiers set forth at 45 C.F.R. § 164.514(e)(2) of an individual and the relatives, employers or household members of such individual.
Medicaid Permitted Purposes	Purposes related to the administration of the Medicaid program, including but not limited to reporting to support any Social Security Act section 1115 waiver approved by the Centers for Medicare and Medicaid Services.
Narrow Data Use Request	A Data Use Request for data consisting solely of a Limited Data Set, De-Identified data, and/or aggregate data ( <i>i.e.</i> , non-individual level statistics).

NYeC Board of Directors	Reviews all recommendations on SHIN-NY policy guidance and standards changes made through the SCP, prior to the Department consideration. Members serve individually as Chairs for each SCP committee and bear responsibility for operating the committee in accordance with its charter and reporting on committee activities to fellow Board Members and/or the Department periodically, or upon request. Through its Nominating Committee, the Board considers nominations of and appoints members and Chairs of SCP committees.
NYeC DOH Contract	NYeC is the designee of NYS DOH, under their public-private partnership, responsible for managing and overseeing the implementation of the SHIN-NY pursuant to the contract between NYS DOH and NYeC effective as of April 1, 2014, as further amended, modified, replaced, and restated from time to time.
NYeC Staff	Provide administrative coordination, support, and subject matter expertise to SCP committees. Staff support the SCP committee Chairs and facilitate the operations of each SCP committee in accordance with its charter. NYeC additionally serves as the convener of SHIN-NY standing and ad hoc work teams to support essential business and operational functions of the network.
Narrow Data Use Request	A Data Use Request for data consisting solely of a Limited Data Set, De-Identified Data, and/or aggregate data ( <i>i.e.</i> , non-individual level statistics).
Other Approved Network	An Other Network that has been approved in accordance with the SCPA.
Other Networks	A network that exchanges information about individuals (including health information or social services information) that is not part of the SHIN-NY. "Other Networks" shall include, but are not limited to, any QHIN that is neither a QE nor NYeC.
Part 2	The federal substance use disorder confidentiality regulations set forth at 42 C.F.R. Part 2.
Participant Indemnified Parties	A Participant's directors, officers, employees, contractors, representatives, and agents.
Participant Tech	All software and hardware that is necessary for Participant to Contribute the Core SHIN-NY Data and/or to access and use a SHIN-NY Platform for the receipt of Required Participant Services, as applicable.
Permitted Purposes	Collectively, the QE Permitted Purposes and the SDI Permitted Purposes.
Permitted Requester	Designated staff of QEs, NYeC, or the Department and designated staff of such other entities as may be approved by the SDUC.
Protected Health Information (PHI)	Has the meaning set forth at 45 C.F.R. § 160.103.
Prior Agreement	Any agreement (including applicable terms and conditions, business associate agreements, and QE-specific policies and procedures) regarding Participant's receipt of services from a QE to the extent related to the access, use and exchange of SHIN-NY Data other than a Statewide Common Participation Agreement or Value-Added Services agreement that complies with Section 7.2(d).
Prohibited Purposes	The purposes for which SHIN-NY Data may not be used or disclosed.
Policy Committee	An SCP standing committee with primary responsibility for the development and amendment of the Statewide Common Participation Agreement (SCPA), including the Election Process, and those SHIN-NY SOPs that address privacy and security policies, including patient consent.

Public Health Permitted Purposes	Purposes for which a SHIN-NY participant is permitted to disclose protected health information to a public health authority without an authorization or opportunity to agree or object under federal standards for uses and disclosures for public health activities.
QEs (Qualified Entities)	Participate through representatives as voting or ex officio members of SCP committees pursuant to the charters for those committees. QE representatives also participate in SHIN-NY standing and ad hoc work teams established by NYeC staff, including the SHIN-NY Leaders Group.
QE (Qualified Entity)	A not-for-profit regional health information organization or other entity that has been certified by the Department of Health under the SHIN-NY Regulations.
QE Permitted Purposes	The purposes for which SHIN-NY Data maintained in a QE Platform may be used or disclosed in accordance with the SCPA and the SHIN-NY SOPs.
QE Platform	The platform by which a QE maintains data contributed, shared, disclosed transferred or otherwise made available by SHIN-NY participants in accordance with the SCPA.
QHIN	A qualified health information network under TEFCA.
Qualified Entity Participation Agreement (QEPA)	The agreement or set of agreements between NYeC and each QE pursuant to which such QE participates in the SHIN-NY, as such agreements may be amended, modified or replaced from time to time.
Qualified Service Organization Agreement	A qualified service organization agreement that meets the requirements of 42 C.F.R. § 2.11.
Regulated Participant	A SHIN-NY participant that is required under 10 N.Y.C.R.R. § 300.6(a) to become a SHIN-NY participant.
Required Participant Services	The minimum services required to be provided by an HIN to SHIN-NY participants pursuant to the SHIN-NY Regulations and the SHIN-NY SOPs.
Safeguards	Administrative, technical, and physical safeguards implemented to govern and protect the security of hardware, software, systems (including, as applicable, the SHIN-NY Platforms), and users of the foregoing, and the privacy and security of the data contained therein.
Statewide Common Participation Agreement (SCPA)	A statewide common participation agreement established pursuant to the SHIN-NY Regulations.
Statewide Collaboration Process (SCP)	An open, transparent process within which SHIN-NY stakeholders contribute to recommendations for the SCPA and the SHIN-NY SOPs.
Sensitive Data	Any data that, pursuant to and in accordance with the SHIN-NY SOPs, must be identified, tagged, or labeled by a SHIN-NY participant as being subject to state or federal privacy laws that impose more stringent requirements as compared to laws that apply to most other categories of SHIN-NY Data.
SDI Waiver Participants	A Regulated Participant that has received a waiver from NYS DOH under 10 N.Y.C.R.R. § 300.6(c)(4) and has agreed to provide Core SDI Data directly to the SDI.
SDI Data	All data maintained in the SDI, including but not limited to Core SDI Data.
SDI Permitted Purposes	The purposes for which SHIN-NY Data maintained in the SDI may be used or disclosed in accordance with the SCPA and the SHIN-NY SOPs.

Statewide Health Information Network for New York (SHIN-NY)	The technical infrastructure and the supportive policies and agreements that: <ul style="list-style-type: none"> <li>(i) Make possible the electronic exchange of clinical information among SHIN-NY participants for authorized purposes to improve the quality, coordination and efficiency of patient care, reduce medical errors and carry out the public health and health oversight activities, while protecting patient privacy and ensuring data security; and</li> <li>(ii) Enable widespread, non-duplicative interoperability among disparate health information systems, including electronic health records, personal health records, health care claims, payment and other administrative data, and public health information systems, while protecting patient privacy and ensuring data security.</li> </ul>
SHIN-NY Leaders Group	A standing SHIN-NY work team with responsibility for key business and operational decision-making related to cross-QE and SDE functions. NYeC convenes the group and determines its membership, which shall generally consist of executive-level leadership representing each QE, the SDE, and the Department.
SHIN-NY Participant	Participate through representatives as voting or ex officio members of SCP committees pursuant to the charters for those committees. SHIN-NY Participants may also participate in SCP functions through guest attendance at meetings of SCP committees of which they are not members and the submission of public comments on, including suggested changes to, SHIN-NY SOPs and SHIN-NY proposals.
SHIN-NY Participant	An individual or entity that meets the definition of “SHIN-NY participant” set forth in the SHIN-NY regulations and any further requirements for qualifying as a SHIN-NY Participant as set forth in the SHIN-NY SOPs.
SHIN-NY Platform	Any QE platform and the SDI.
Statewide Data Infrastructure (SDI)	The data infrastructure maintained by NYeC that includes (i) a secure statewide repository (sometimes referred to as a “Data Lake”) that includes Core SDI Data, and (ii) data infrastructure used by NYeC to deliver services required under the NYS DOH Contract, such as maintaining and operating the “Statewide Master Patient Index” and the “Statewide Patient Record Lookup,” each defined by or described in the SHIN-NY SOPs, and facilitating statewide reporting.
Social Care Networks (SCN)	9 regional entities aimed at improving integration across health, behavioral health, and social care through screening, navigation, and delivery of HRSN services.
Statewide Data Use Committee (SDUC)	A committee of the Statewide Collaboration Process designated by the Department with responsibility for the development and amendment of SHIN-NY SOPs (or sections of SOPs) concerning disclosures and uses of data contained in the SDI.
Summary Decision Memo	A memo provided by NYeC to the SDUC and made public as set forth herein, which memo sets forth an analysis of whether a Data Use Request is for a Public Health Permitted Purpose or a Medicaid Permitted Purpose and otherwise in compliance with the SCPA, the SHIN-NY SOPs and applicable law (including the SHIN-NY Regulations) or, if applicable, a Narrow Data Use Request or a Data Use Request for Urgent Public Health Surveillance.
Technical Advisory Committee	An SCP standing committee with responsibility for the development and amendment of SHIN-NY SOPs that address technical data contribution standards for SHIN-NY Participants, including those involving core (required) data contributions and protocols for submissions directly to the Statewide Data Infrastructure; technical standards for services provided by

	QEs; and technical standards and requirements for interoperability among SHIN-NY participants.
TEFCA	The Trusted Exchange Framework and Common Agreement operating under the oversight of the federal Assistant Secretary for Technology Policy and Office of the National Coordinator for Health Information Technology and the Recognized Coordinating Entity selected by such agency.
Urgent Public Health Surveillance	A public health authority's surveillance of a communicable disease that NYS DOH has determined represents a significant risk to public health.
User List	A SHIN-NY participant's list of all such participant's Authorized Users, together with any information related to Authorized Users as required by the SHIN-NY SOPs.
Voluntary Participants	Any SHIN-NY Participant that is not a Regulated Participant.