

SHIN-NY HITRUST i1 Certification Requirements

SHIN-NY Entities must obtain a HITRUST i1 Validated Assessment Certification against the current version of the Common Security Framework (CSF) by December 31, 2026.

- 1. Certification must be conducted by a Certified HITRUST Assessor, with the final HITRUST certification report submitted to NYSDOH via the Health Commerce System (HCS) Secure File Transfer application.
- 2. SHIN-NY Entities must participate in a bi-monthly status meeting with NYSDOH and NYeC to review:
 - a. Assessment status updates.
 - b. Discuss any issues or concerns related to the assessment.

HITRUST i1 Scope Requirements

SHIN-NY Entities must define a scope that includes all information systems, users, and infrastructure that directly or indirectly handle covered information such as Medicaid Confidential Data (MCD) or Protected Health Information (PHI). This includes:

- Any application or hardware that will transmit, store, process, or permit access to PHI including Department MCD, whether locally hosted and or in the cloud.
- Any location from which individuals access PHI including MCD or provide local or remote system support.
- Any device used to access or support the system, including but not limited to, tablets, desktops, and laptops.

DOH Guidance

- The Department requires organizations to provide detailed comments explaining how organizational controls satisfy each HITRUST Requirement Statement in the comments section of the HITRUST report.
- An organization that believes that a security control or control requirement is “Not Applicable,” or “N/A,” must provide justification on why there is an exception.