

SCPA and SHIN-NY SOPs Glossary

Term	Meaning
1115 Waiver	New York State’s 1115 Demonstration Waiver, which was approved by the federal Centers for Medicare and Medicaid Services (CMS) on January 9, 2024, and includes several Medicaid initiatives such as the Social Care Network (SCN) program.
1115 Waiver Data	1115 Waiver Data is a category of Core SDI Data that includes Screenings, Eligibility Assessments, and Referrals related to the 1115 Waiver.
1115 Waiver Guidance	All documents issued by the Department for the 1115 Waiver including, but not limited to the Social Care Network: Program, Billing, and Data Governance Operations Manual. These documents may be amended, modified, or updated from time to time, available via the NYeC website.
1115 Waiver Participants	The entities involved in certain data flow components of the 1115 Waiver, including Social Care Networks (SCNs), SCN Lead Entities, MCOs, the Health Equity Regional Organization (HERO) and Health Information Networks (HINs), supporting eligibility assessments, coordinating Medicaid reporting, and aggregating essential data related to the 1115 Waiver.
1115 Waiver Use Case	The Use Case approved by the SDUC on August 19, 2025, as may be amended or revised through the SCP from time to time. See, <i>Health Related Social Needs Data in support of the 1115 Waiver</i> , available at 1115 Waiver - Work With Us New York eHealth Collaborative
Additional Data	SHIN-NY Data that is not Core SHIN-NY Data.
Additional HIN	Any HIN that Participant has selected on the Election Schedule as an “Additional HIN”, and which may provide Required Participant Services to Participant in accordance with the SCPA.
ADT	An admission, discharge, and transfer notification.
Assessment	An Assessment conducted after a Screening of a Medicaid Managed Care Organization Member’s eligibility for services under the 1115 Waiver.
Authorized Requester	Designated staff of QEs, NYeC, or the Department and designated staff of such other entities as may be approved by the SDUC.
Authorized User	An individual designated to access and use a SHIN-NY Platform and the Required Participant Services and who satisfies the requirements of the SCPA.
Business Associate Agreement (BAA)	A business associate agreement that meets the applicable requirements of HIPAA.
CBO Attributes	All data fields describing a Community Based Organization (“CBO”), which may include but not be limited to CBOs name; organization or facility name; headquarters address; address where the health related social needs (HRSNs) services are provided; tax

	identification number; contractual affiliations with other providers addressing HRSNs (such as from managed care organizations to social care networks); the types of services offered by the community-based organization, such as housing and utilities, food security, transportation, employment education, and interpersonal safety; and any other related information or data NYeC or QEs are directed by NYSDOH to collect and/or aggregate.
Contribute	To contribute, share, disclose, transfer, or otherwise make available; “Contribution” means information so contributed, shared, disclosed, transferred, or otherwise made available.
Core QE Data	Data that a SHIN-NY participant must Contribute to a QE Platform under the SCPA, and that must be made available for the QE Permitted Purposes.
Core SHIN-NY Data	Core QE Data and/or Core SDI Data.
Core Statewide Data Infrastructure (SDI) Data	Data that must be Contributed to the SDI, either directly to the SDI or by QEs that receive such data from their SHIN-NY participants, and that must be made available for the SDI Permitted Purposes.
Data Breach	Means “breach” as defined at 45 C.F.R. § 164.402.
Data Lake	A secure data repository that is part of the SDI.
Data Provider	A SHIN-NY Participant that Contributes data to a SHIN-NY Platform. All Regulated Participants shall be Data Providers, and Voluntary Participants may also be required to be Data Providers under the SHIN-NY SOPs. A SHIN-NY Participant can be both a Data Provider and a Data Recipient.
Data Recipient	A SHIN-NY Participant that accesses and uses data held in a SHIN-NY Platform pursuant to their selection on the Election Schedule. A SHIN-NY Participant can be both a Data Provider and a Data Recipient.
Data Use and Contribution Agreement (“DUCA”)	The agreement between a QE and NYeC regarding such QE’s contribution of data to the Data Lake and QE’s and NYeC’s use and disclosure of such data.
Data Use Requests	Requests for use, disclosure and re-disclosure of Core SDI Data for Public Health Permitted Purposes, Medicaid Permitted Purposes, as well as any future SDI Permitted Purposes that the SDUC may review and approve.
De-identified Data	Data that does not identify an individual and with respect to which there is no reasonable basis to believe that the information can be used to identify an individual. Data may be considered de-identified only if it satisfies the requirements of 45 C.F.R. § 164.514(b).
Designated Health Information Network (HIN)	The HIN that a SHIN-NY Participant selects on the Election Schedule as its “Designated HIN” and which is responsible for providing or arranging for the provision of Required Participant Services to the Participant in accordance with the SCPA.

Election Schedule	The means by which, pursuant to the SCPA, each SHIN-NY Participant must select a Designated HIN and may also select one or more Additional HIN(s) or Value-Added Services HIN(s).
Eligible NYeC Participants	A SHIN-NY Participant that is either (i) NYS DOH and its applicable vendors and contractors (including, but not limited to, the Health Equity Regional Organization and Medicaid managed care organizations), (ii) an SDI Waiver Participant, or (iii) another SHIN-NY participant that is approved by the SDUC as an Eligible NYeC Participant (e.g., a county or local public health agency).
Encounter Data Hub	Data infrastructure maintained by NYeC as part of the SDI that collects ADTs, stores ADTs, and forwards ADTs to QEs.
Enhanced Services	Any HRSN services that are financed through the 1115 Waiver as approved by the federal government.
Enhanced Services Member File (ESMF or ESMF Data)	The MCO's complete, active membership file of 1115 Waiver eligible Members, shared on a monthly basis, which contains Member residential information and clinical criteria that may assist the SCN in determining which Members meet the eligibility criteria for Enhanced HRSN Services. 1115 Waiver eligible members do not include: PACE enrolled members; FIDA IDD enrolled members; Members who are permanently placed in a nursing home (RR code N1-N9); or Members who have fiscal county codes 97, 98 or 99.
Health Equity Regional Organization (HERO)	The New York State Department of Health's contracted entity that facilitates Medicaid related Healthcare Operations required under Section 13 of the Special Terms and Conditions (STCs) of the 1115 Waiver. As the Department's contracted entity, the HERO will generate regional and statewide reports on 1115 Waiver initiatives required by the STCs, using aggregated or anonymized data.
Health Information Network ("HIN")	A health information network that is part of the SHIN-NY and party to the SCPA. A HIN shall be either a QE or NYeC.
HIPAA	The Health Insurance Portability and Accountability Act of 1996, as amended from time to time, and the regulations issued under 45 C.F.R. Parts 160, 162, and 164.
Health Related Social Needs (HRSNs)	Means an individual's unmet, adverse social conditions that contribute to poor health. These needs include food insecurity, housing instability, unemployment, and lack of reliable transportation, among others.
Limited Data Sets	Protected Health Information that excludes the 16 direct identifiers set forth at 45 C.F.R. § 164.514(e)(2) of an individual and the relatives, employers or household members of such individual.
MCOs (Managed Care Organizations)	Licensed entities under Article 44 of the New York Public Health Law. All MCOs have contracts with the New York State Department of Health.
Medicaid Member	An individual enrolled in a Managed Care Plan offered by an MCO or in a Medicaid Fee-For-Service program.

Medicaid Purposes	As defined in 10 N.Y.C.R.R. §300.1(p), purposes related to the administration of the Medicaid program, including but not limited to reporting to support any Social Security Act section 1115 waiver approved by the Centers for Medicare and Medicaid Services.
Narrow Data Use Request	A Data Use Request for data consisting solely of a Limited Data Set, De-Identified data, and/or aggregate data (<i>i.e.</i> , non-individual level statistics).
NYeC	New York eHealth Collaborative, Inc.
NYS DOH or the Department	The New York State Department of Health.
Other Approved Network	An Other Network that has been approved in accordance with the SCPA.
Other Networks	A network that exchanges information about individuals (including health information or social services information) that is not part of the SHIN-NY. “Other Networks” shall include, but are not limited to, any QHIN that is neither a QE nor NYeC.
Part 2	The federal substance use disorder confidentiality regulations set forth at 42 C.F.R. Part 2.
Permitted Purposes	Collectively, the QE Permitted Purposes and the SDI Permitted Purposes.
Permitted Requester	Designated staff of QEs, NYeC, or NYS DOH and designated staff of such other entities as may be approved by the SDUC.
PL Identifier Key	The key used to generate the Pseudonymized Linkage Identifier, which is a hashed or tokenized patient identifier that enables consistent record linkage across datasets derived from the same individual patient while keeping the patient’s identity hidden.
Policy Committee	An SCP standing committee with primary responsibility for the development and amendment of the Statewide Common Participation Agreement (SCPA), including the Election Process SOP, and those SHIN-NY SOPs that address privacy and security policies, including patient consent.
Prohibited Purposes	The purposes for which SHIN-NY Data may not be used or disclosed.
Protected Health Information (PHI)	Means “protected health information” as defined at 45 C.F.R. § 160.103.
Public Health Activities	As defined in 10 N.Y.C.R.R. §300.1(o), purposes for which a SHIN-NY Participant is permitted to disclose protected health information to a public health authority without an authorization or opportunity to agree or object under federal standards for uses and disclosures for public health activities.
QE (Qualified Entity)	A not-for-profit regional health information organization or other entity that has been certified by the Department of Health under the SHIN-NY Regulations.

QE Permitted Purposes	The purposes for which SHIN-NY Data maintained in a QE Platform may be used or disclosed in accordance with the SCPA and the SHIN-NY SOPs.
QE Platform	The platform by which a QE maintains data contributed, shared, disclosed transferred or otherwise made available by SHIN-NY participants in accordance with the SCPA.
QHIN	A qualified health information network under TEFCA.
Qualified Entity Participation Agreement (QEPA)	The agreement or set of agreements between NYeC and each QE pursuant to which such QE participates in the SHIN-NY, as such agreements may be amended, modified or replaced from time to time.
Qualified Service Organization Agreement (QSOA)	A qualified service organization agreement that meets the requirements of 42 C.F.R. § 2.11.
Referral	The process whereby a Medicaid Managed Care Organization Member is Referred for services under the 1115 Waiver to address identified needs.
Regulated Participant	A SHIN-NY participant that is required under 10 N.Y.C.R.R. § 300.6(a) to become a SHIN-NY participant.
Required Participant Services	The minimum services required to be provided by an HIN to SHIN-NY participants pursuant to the SHIN-NY Regulations and the SHIN-NY SOPs.
Screening	The standard process of identifying unmet needs of Medicaid Managed Care Organization Members using a standardized New York version of the Accountable Health Communities (AHC) Screening tool to assess Member needs for services under the 1115 Waiver.
SDI Data	All data maintained in the SDI, including but not limited to Core SDI Data.
SDI Permitted Purposes	The purposes for which SHIN-NY Data maintained in the SDI may be used or disclosed in accordance with the SCPA and the SHIN-NY SOPs.
SDI Waiver Participant	A Regulated Participant that has received a waiver from NYS DOH under 10 N.Y.C.R.R. § 300.6(c)(4) and has agreed to provide Core SDI Data directly to the SDI.
Sensitive Data	Any data that, pursuant to and in accordance with the SHIN-NY SOPs, must be identified, tagged, or labeled by a SHIN-NY Participant as being subject to state or federal privacy laws that impose more stringent requirements as compared to laws that apply to most other categories of SHIN-NY Data.
SHIN-NY Data	Any and all data Contributed by SHIN-NY participants to the SHIN-NY Platforms (directly or through Other Approved Networks in accordance with this SCPA). SHIN-NY Data is either non-PHI or PHI, and either Core SHIN-NY Data or Additional SHIN-NY Data. Some or all SHIN-NY Data may be designated as Core SHIN-NY Data through the Statewide Collaboration Process.

SHIN-NY Leaders Group	A standing SHIN-NY work team with responsibility for key business and operational decision-making related to cross-QE and SDE functions. NYeC convenes the group and determines its membership, which shall generally consist of executive-level leadership representing each QE, the SDE, and the Department.
SHIN-NY Participant	An individual or entity that meets the definition of “SHIN-NY participant” set forth in the SHIN-NY Regulations and any further requirements for qualifying as a SHIN-NY Participant as set forth in the SHIN-NY SOPs.
SHIN-NY Platform	Any QE Platform and the SDI.
Social Care Networks (SCN)	Regional entities aimed at improving integration across health, behavioral health, and social care through screening, navigation, and delivery of services for the 1115 Waiver.
Social Care Networks (SCN) Data	All data collected or generated by SCNs for purposes of the 1115 Waiver, including but not limited to Screening data; Assessment data and Referral data; CBO Attributes; and any other information or data SCNs, NYeC or QEs are directed by NYSDOH to collect and/or aggregate.
Social Care Networks (SCN) IT Platform	The SCN’s information technology platform that must utilize the SHIN-NY and its network of Qualified Entities (“QEs”) for the exchange of SCN Data, analysis of SCN Data, and for required SCN reporting to NYSDOH.
Social Care Networks (SCN) Lead Entity	The entity responsible for coordinating the network of HRSN service providers and healthcare providers. The SCN Lead Entity contracts with the Department’s Office of Health Insurance Programs, MCOs, and organizations, such as community-based organizations within its network.
State Designated Entity (SDE)	The designee of the NYS DOH responsible for managing and overseeing the implementation of the SHIN-NY.
Statewide Collaboration Process (SCP)	An open, transparent process within which SHIN-NY stakeholders contribute to recommendations for the SCPA and the SHIN-NY SOPs.
Statewide Common Participation Agreement (SCPA)	A statewide common participation agreement established pursuant to the SHIN-NY Regulations.
Statewide Data Infrastructure (SDI)	The data infrastructure maintained by NYeC that includes (i) a secure statewide repository (sometimes referred to as a “Data Lake”) that includes Core SDI Data, and (ii) data infrastructure used by NYeC to deliver services required under the NYS DOH Contract, such as maintaining and operating the “Statewide Master Patient Index” and the “Statewide Patient Record Lookup,” each defined by or described in the SHIN-NY SOPs, and facilitating statewide reporting.
Statewide Data Use Committee (SDUC)	An SCP standing committee with responsibility for the development and amendment of SHIN-NY SOPs concerning disclosures and uses of data contained in the SDI.

<p>Statewide Health Information Network for New York (SHIN-NY)</p>	<p>The technical infrastructure and the supportive policies and agreements that:</p> <ul style="list-style-type: none"> (i) Make possible the electronic exchange of clinical information among SHIN-NY participants for authorized purposes to improve the quality, coordination and efficiency of patient care, reduce medical errors and carry out the public health and health oversight activities, while protecting patient privacy and ensuring data security; and (ii) Enable widespread, non-duplicative interoperability among disparate health information systems, including electronic health records, personal health records, health care claims, payment and other administrative data, and public health information systems, while protecting patient privacy and ensuring data security.
<p>Statewide Health Information Network for New York (SHIN-NY) Patient Information</p>	<p>Pursuant to 10 NYCRR §300.1(g), health information that is created or received by a QE participant and relates to the past, present, or future physical or mental health or condition of an individual or the provision of health care to an individual, and that identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual.</p>
<p>Summary Decision Memo</p>	<p>A memo provided by NYeC to the SDUC setting forth an analysis of whether a Data Use Request is for a Public Health Permitted Purpose or a Medicaid Permitted Purpose and otherwise in compliance with the SCPA, the SHIN-NY SOPs and applicable law (including the SHIN-NY Regulations) or, if applicable, a Narrow Data Use Request or a Data Use Request for Urgent Public Health Surveillance.</p>
<p>Technical Advisory Committee (TAC)</p>	<p>An SCP standing committee with responsibility for the development and amendment of SHIN-NY SOPs that address technical data contribution standards for SHIN-NY Participants, including those involving core (required) data contributions and protocols for submissions directly to the Statewide Data Infrastructure; technical standards for services provided by QEs; and technical standards and requirements for interoperability among SHIN-NY Participants.</p>
<p>TEFCA</p>	<p>The Trusted Exchange Framework and Common Agreement operating under the oversight of the federal Assistant Secretary for Technology Policy and Office of the National Coordinator for Health Information Technology and the Recognized Coordinating Entity selected by such agency.</p>
<p>Urgent Public Health Surveillance</p>	<p>A public health authority’s surveillance of a communicable disease that NYS DOH has determined represents a significant risk to public health.</p>
<p>Voluntary Participant</p>	<p>Any SHIN-NY Participant that is not a Regulated Participant.</p>